

Customer No. 31013

Atty. Docket No. 104841-00003

COMMISSIONER FOR PATENTS  
Mail Stop New Application  
P.O. Box 1450  
Alexandria, VA. 22313-1450

17858 U.S. PTO  
10/669518  
09/24/03

Transmitted herewith for filing is the patent application of Inventor(s) **Carl R. Aron and John A. Smith**

Entitled: **SYSTEM AND METHOD FOR CREATING A COST-EFFECTIVE AND EFFICIENT RETAIL ELECTRIC POWER EXCHANGE/ENERGY SERVICE PROVIDER LOAD OPTIMIZATION EXCHANGE AND NETWORK THEREFOR**

☒ This application is a Continuation of prior application Serial No. 09/663,813, Examiner Geoffrey R. Akers  
Group Art Unit 3624

Enclosed are:

- ☒ 194 sheets of specification and 47 sheet(s) of drawing(s).  
☐ An Assignment of the invention to \_\_\_\_\_ and an Assignment Recordation cover sheet.  
☐ A certified copy of a priority application.  
☐ A verified statement to establish small entity status under 37 C.F.R. §§ 1.9 and 1.27.  
☒ A copy of the executed declaration/power of attorney.  
☐ A copy of the Information Disclosure Statement and form PTO-1449.  
☒ Other: Preliminary Amendment, copy of Request for Extension of Time in co-pending related application Serial No. 09/663,813.

The filing fee has been calculated as shown below:

	(Col. 1)	(Col. 2)		SMALL ENTITY		OTHER THAN A SMALL ENTITY
FOR:	NO. FILED	NO. EXTRA		RATE	FEE	RATE
BASIC FEE					\$375	\$750
TOTAL CLAIMS	20 - 20 =	* 0	x 9	\$	OR	x 18
INDEP CLAIMS	3 - 3 =	* 0	x 42	\$	OR	x 84
MULTIPLE DEPENDENT CLAIM PRESENTED			x 140	\$	OR	x 280
* If the difference in Col. 1 is less than zero, enter "0" in Col. 2			TOTAL	\$375	OR	TOTAL

☒ Please charge Deposit Account No. **50-0540** in the amount of **\$ 375.00** to cover the filing fee. The Commissioner is also hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. **50-0540**. A duplicate copy of this sheet is enclosed.

- ☐ Any additional filing fees required under 37 C.F.R. § 1.16.  
☐ Any patent application processing fees under 37 C.F.R. § 1.17.

☐ A check in the amount of \$ \_\_\_\_\_ to cover the filing fee is enclosed.

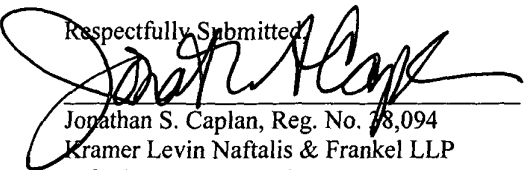
☒ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. **50-0540**. A duplicate copy of this sheet is enclosed.

- ☒ Any patent application processing fees under 37 C.F.R. § 1.17.  
☒ The issue fee set in 37 C.F.R. § 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 C.F.R. § 1.311(b).  
☒ Any filing fees under 37 C.F.R. § 1.16 for presentation of extra claims.

Date: September 24, 2003

EXPRESS MAIL CERT. NO. EV328960173US  
DATE OF DEPOSIT: September 24, 2003

Respectfully Submitted,

  
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Customer No. 31013

Atty. Docket No. 104841-00002

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Carl R. Aron et al.

Serial No.: 09/663,813

Filed: September 15, 2000

For: **SYSTEM AND METHOD FOR CREATING A COST-EFFECTIVE AND  
EFFICIENT RETAIL ELECTRIC POWER EXCHANGE/ENERGY  
SERVICE PROVIDER LOAD OPTIMIZATION EXCHANGE AND  
NETWORK THEREFOR**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8**  
I hereby certify that this correspondence is being deposited  
with the United States Postal Service with sufficient  
postage as First Class Mail in an envelope addressed to  
Commissioner for Patents, P.O. Box 1450, Alexandria, VA  
22313-1450 on September 24, 2003.

Signature:   
Jonathan S. Caplan

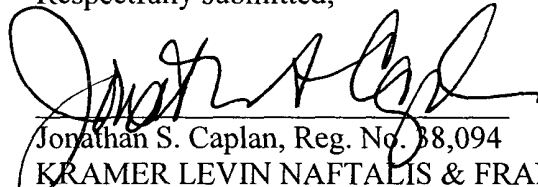
**REQUEST FOR EXTENSION OF TIME**

Sir:

Applicants hereby request a **three-month extension of time** for responding to the outstanding Office Action dated March 24, 2003. The extended period for response expires on **September 24, 2003**. Please charge **\$405.00** for the small entity extension fee, or any additional fees or credit any overpayment in connection with this submission to Deposit Account No. **50-0540**.

Dated: September 24, 2003

Respectfully submitted,



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